

PSJ19 Walmart Opp Exh 3 – WMT_MDL_000048101

Produced in Native Format

Portfolio Scoring Worksheet

Project Name: **Suspicious Order Monitoring** Program Name: **Health & Wellness Logistics**
 Overall Project Score : **65**

Corporate Governance Scoring Section

Improve Program Effectiveness

	Score
Improves Governance Program Maturity 0 = No 3 = Yes	3
Improves our Business Processes for Governance 0 = No 3 = Yes	3
Improves our Information usage for Governance 0 = No 3 = Yes	3
Section Totals	45
Section Weight and Total Score	5

Explanation and Justification for Scores

Must provide the business plan for how this initiative will improve one of the three areas listed above.

Risk Reduction (Existing or Emerging)

	Score
Is this a New or Emerging Risk? 1 = No 3 = Existing 5 = New / Emerging	3
Is the Risk being mitigated today by manual, systematic, or a combination of both today (regardless if optimal or not)? 0 = Yes, with existing system and manual processes; just not optimal 1 = Yes with an existing system with no manual processes 3 = Yes, but manually with no existing system 5 = No, Emerging Risk that has no processes in place today	5
What is the likelihood that the events or conditions underlying the Risk will occur? 1 = Remote 2 = Unlikely 3 = Possible 4 = Likely 5 = Almost Certain	4
What is the potential financial or reputational impact to the company if the events or conditions occur? 1 = Minimal 2 = Significant 3 = Material 4 = Severe 5 = Catastrophic	4
Will this effort mitigate any other Risk outside of your area? (If answered, YES, you must list in the Explanation the other Risk(s) being mitigate in the other area(s)). 0 = No 5 = Yes	0
Section Totals	100
Section Weight and Total Score	20

Explanation and Justification for Scores

Controlled Substance Risk Assessment, Item 1

Mandated or Executive Decree

	Score
What is the extent of the Legal or Regulatory requirement? (If there are global implications, you must list the impacted countries and how they are impacted.) 1 = Local (Single State or Province) 2 = Multiple States / Province 3 = National 4 = Multiple Countries 5 = Global	3
Is the effort related to a settlement agreement with a Government Agency, Court Order, or Consent Decree? (The Court Order or Consent Decree must be given along with the effective and expiration date.) 0 = No 5 = Yes	5
Is this effort Executive directed? Must provide the Executive directive given. 1 = No 5 = Yes	5
Is this effort Board directed or informed? Must provide the Executive or Board directive given. 1 = No 3 = Board Informed 5 = Board Directed	3
Is there a Required Effective Date mandated by Legal or Regulation(s)? Provide Date {input}	
Is there a system "readiness date"? A date that the list must be in place. Provide Date {input}	
Section Totals	105
Section Weight and Total Score	37

Explanation and Justification for Score:

21 CFR 1301.74(b), DEA Memorandum of Agreement effective 3/17/11 - 3/17/15

Corporate or Business Unit Strategic Alignment

	Score
Effort may also be identified as one of the following; 1 = Builds Governance awareness 2 = Enhances Governance Maturity 3 = Strategic Alignment with Business Operations	2
Is the effort ensuring alignment or compliance to a Corporate Policy or Directive? (If Yes, must provide the Corporate Policy Name and ID and/or Directive) 1 = No 3 = Yes	3
This initiative will assist in achieving the business unit's goals and/or objectives. (Aligning goals and/or objectives must be provided.) 1 = No 3 = Yes	3
Section Totals	24

Section Weight and Total Score		4
Explanation and Justification for Score POM 887 and POM 1385		
SECURITY		
Is the effort designed to mitigate physical security risks? 0 = No 5 = Yes		5
Is the effort designed to mitigate health or safety risks? 0 = No 5 = Yes		5
Is the effort designed to mitigate fraudulent activities? 0 = No 5 = Yes		5
Section Totals		150
Section Weight and Total Score		30
Explanation and Justification for Score 21 CFR 1301.74 (b)		